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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/602,761
		Filing Date	June 25, 2003
		First Named Inventor	Christina Smith
		Group Art Unit	2165
		Examiner Name	N. Rosen
Total Number of Pages in This Submission	000482.00002		

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Anthony W. Kandare, Reg. No. 48,830
Signature	<i>Anthony W. Kandare</i>
Date	August 11, 2003

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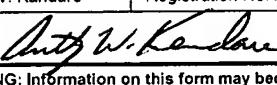
AUG 18 2003

GROUP 3600

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<b>01 FREE TRANSMITTAL</b> <b>for FY 2003</b> <small>AUG 11 2003</small> <small>Patent fees are subject to annual revision.</small>		<b>RECEIVED</b> <small>AUG 18 2003</small> <b>GROUP 3600</b>																																																																																																																																																																																				
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>Complete If Known</b>																																																																																																																																																																																				
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ 666)		Application Number 10/602,761 Filing Date June 25, 2003 First Named Inventor Christina Smith Examiner Name N. Rosen Art Unit 2165 Attorney Docket No. 000482.00002																																																																																																																																																																																				
<b>METHOD OF PAYMENT (check all that apply)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																				
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ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="3"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - 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<b>2. EXTRA CLAIM FEES</b>		Extra Claims Fee from below Fee Paid Total Claims 43 -20 ** = 23 X 18 = 414 Independent Claims 6 -3 ** = 3 X 84 = 252 Multiple Dependent X = 0																																																																																																																																																																																				
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<b>SUBMITTED BY</b> <b>Complete (if applicable)</b>					
Name (Print/Type)	Anthony W. Kandare	Registration No. Attorney/Agent)	48,83-	Telephone	202-824-3000
Signature				Date	August 11, 2003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re the Application of:

**SMITH et al.**

Serial No.:	10/602,761	Atty. Docket No.:	000482.00002
Filed:	June 25, 2003	Group Art Unit:	2165
For:	<b>DATA HEALTH MONITOR FOR FINANCIAL INFORMATION COMMUNICATIONS NETWORKS</b>	Examiner:	N. Rosen

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Prior to examination, Applicants request that the referenced patent application be amended as shown below.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the Listing of Claims, which begins on page 4 of this paper.

**Remarks/Arguments** begin on page 13 of this paper.

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08/13/2003 ZJUHAR1 00000052 190733 10602761

01 FC:1201 252.00 DA  
02 FC:1202 414.00 DA